

DEPARTMENT REQUEST FORM

REQUESTOR INFORMATION

REQUESTOR NAME: _____ TELEPHONE #: _____
EMAIL: _____ DATE TO INITIATE REQUEST: _____

RBC ACCOUNT INFORMATION

ACCOUNT NUMBER: _____ CURRENCY: _____
CLIENT ACCOUNT NAME: _____
SERVICE CHARGE ACCOUNT NUMBER (IF ANY): _____

SECURITY INFORMATION

QUANTITY: _____ FULL SECURITY NAME: _____
SECURITY CODE: _____ CUSIP: _____

TRANSACTION TYPE

- | | |
|--|-----------------------------------|
| <input type="radio"/> DELIVER-OUT POSITION FROM ACCOUNT (WITHDRAWAL) | CROSS-BORDER MOVEMENT: |
| <input type="radio"/> TRANSFER-IN POSITION TO ACCOUNT (DEPOSIT) | <input type="radio"/> DELIVER-OUT |
| <input type="radio"/> TRANSFER | <input type="radio"/> TRANSFER-IN |
| <input type="radio"/> 144A CONVERSION | |

DELIVER-OUT (WITHDRAWAL) INFORMATION

- ORDER-OUT PHYSICAL CERTIFICATE OR STATEMENT FROM ACCOUNT
 NCIB BUY-BACK FOR CANCELLATION, NO CERTIFICATE ISSUED
 OTHER (PLEASE SPECIFY): _____

TA CONTACT NAME: _____
EMAIL: _____ TELEPHONE #: _____

SPECIAL INSTRUCTIONS OR REMARKS

ADDITIONAL INFORMATION

REGULAR WITHDRAWAL - 3:00PM · REGULAR DEPOSIT - 3:00PM · RUSH WITHDRAWAL - 9:00AM · RUSH DEPOSIT - 10:00AM

CONTACT NAME:
TRANSFER CONTACT:

ALL INSTRUCTIONS ARE TO BE SUBMITTED BY OPENS: ETP_REST_LGL_EST

144A CONVERSION INFORMATION

TRADE DATE: _____

SETTLEMENT DATE: _____

- FROM CDS TO CDS
- FROM DTC TO DTC
- FROM EUROCLEAR TO EUROCLEAR
- FROM DTC TO EUROCLEAR
- FROM EUROCLEAR TO DTC

DECLARATION PROVIDED

NEW SECURITY CODE: _____

NEW SECURITY CUSIP: _____

NEW ISIN #: _____

TRANSFER-IN (DEPOSIT) INFORMATION

- ACCOUNT TRANSFER-IN
- DRS STATEMENT TRANSFER-IN
- OPTION EXERCISE
- TREASURY DIRECTION INCREASE
- OTHER (PLEASE SPECIFY): _____

TA CONTACT NAME: _____

EMAIL: _____

TELEPHONE #: _____